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54 Ink compositions and process for ink jet printing.

57 A jet ink composition curable by exposure to ultra violet and used in the method for producing visible indicia on substrates, such as glass, metals and polymers comprises (A) between 10 and 70% by weight of an epoxy resin selected from (i) diglycidyl ethers of bisphenol A, said resin being predominately the monomer thereof, (ii) polyepoxidized phenol of cresol novolacs, (iii) diepoxide ethers or esters having two epoxy cycloalkyl groups (iv) polyglycidyl ethers of polyhydric alcohols, and (v) combinations thereof, said epoxy resin and having an epoxy equivalent weight of less than 300; (B) 1 to 12% by weight of a photoinitiator capable of rapidly polymerizing the epoxy resins and of the type that provides a Lewis acid effective to initiate the polymerization reaction upon exposure to ultra-violet light (C) less than 2% by weight of pigment or dye that does not adversely affect during of said resins, and a solvent blend containing solvents selected from aliphatic alcohols of one to five carbon atoms, alkyl ketones of two to five carbon atoms, aromatic hydrocarbons of benzene, toluene and xylene, propylene carbonate, ethylene glycol ethers of 3 or 4 carbon atoms, and alkyl acetates of 2 to 4 carbon atoms. The method involves exposing deposited indicia to ultra violet light to cure the indicia within a tack free time of less than 30 seconds.

EP 0 071 345 A2

"INK COMPOSITIONS AND PROCESS FOR INK JET PRINTING"

The present invention relates to ink compositions for jet printing and a process for ink jet printing.

5        Ink compositions according to this invention are suitable for use in high speed jet ink printing systems, and basically comprise a low molecular weight polymerizable resin or blend of such resins, a solvent system, a colorant, and a photosensitive catalyst which, upon  
10       exposure to ultra-violet light, activates the polymerization of the resin or resin blend to provide a dry non-tacky printed image on a substrate.

      A jet ink printing system dispenses discrete droplets of ink through a fine jet nozzle to a substrate.  
15       In this method of printing, unlike letter press gravure, silk screen, and other printing techniques, there is no contact between the printer and article. The ink droplets, which have a defined resistivity, are charged so that they can be deflected by an electric field  
20       when dispensed from the nozzle. Jet ink printing is of considerable importance in applying decorative and identifying indicia to a variety of substrates, including glass, metal and synthetic polymeric substrates. U.S. patents 3,465,350 and 3,465,351 are exemplary of jet  
25       ink printing systems.

Inks to be used in jet printing have specific viscosity and resistivity limitations, should provide good wettability of the substrate, and must be quick drying and smear resistant. The inks must also display

5 a consistent drop breakup length, drop velocity, and drop charge under machine operating conditions. Further, the inks must be compatible both with the jet printing equipment, and in the eventual end use application. With regard to the former it is critical that the ink can

10 flow through the fine jet nozzles without clogging them.

Typically, jet inks comprise three basic components - a colorant such as a dye or pigment; a resin binding agent which adheres to the substrate

15 surface and serves as a vehicle for the colorant; and a carrier fluid or solvent for the colorant and binding agent, the solvent evaporating upon application of the ink. In jet printing inks an electrolyte is commonly included to adjust the resistivity of the ink, and thus

20 ensure that the droplets can be adequately charged whereby proper deflection is achieved. U.S. patent 4,021,252 to Banczak et al is representative of this type of jet printing inks. Banczak uses from 1 to 25% by weight shellac as the binding agent, between

25 0.5 to 5.0% by weight of a basic dye as the colorant,

and a solvent blend consisting essentially of alcohol and water. Other patents reflective of the Banczak approach include U.S. 4,024,096; 4,186,020; 4,070,322; 4,168,254 and 4,168,662.

- 5           Inks disclosed in the aforesaid references typically contain low concentrations of resin binding agent. High resin concentrations increase the ink viscosity as well as the likelihood of the resin in the ink solution being suspended or precipitated.
- 10   Viscosity and solubility problems are further aggravated when a pigment which is typically insoluble in the solvent system, is incorporated in the ink. An example is titanium dioxide. Low resin concentrations are not preferred because large volumes of solvent, which flash
- 15   evaporates during use of the ink, are necessary thereby increasing the size of the ink reservoir, the printing equipment and costs associated with transportation and storage of the ink itself.

- Low molecular weight liquid resins can be
- 20   incorporated into an ink solvent at high concentrations, but such resins result in tacky, easily smeared indicia on the substrates to which it is applied. Further, many low molecular weight resins are often toxic, and cannot be used in marking food packages or in other
- 25   consumer oriented applications.

As disclosed in U.S. Patent 4,228,438, certain low molecular weight resins (e.g., prepolymers) obtained by the reaction of acrylic acid with epoxy or urethane compounds are photosensitive to ultra violet light. Such sensitivity is occasioned by the presence of carbon double bonds. Photoinitiators such as benzoin derivatives, when exposed to UV light, provide free radicals which promote polymerization of the resin after the resin has been applied to the substrate, thereby providing indicia of high molecular weight. Visibility of the indicia is provided by the inclusion of pigments such as titanium dioxide. The pigment has a particle size below 40 microns, and preferably below 5 microns.

In contradistinction to these epoxy-acrylic and urethane-acrylic resins which are cured by free radical polymerizations, resins used in the present invention are cationically polymerizable epoxy resins. Such resins have been used as polymeric coatings and laminates for flexible packaging, especially cardboard cartons. In this class of polymerization reaction, the photoinitiator, upon exposure to ultra violet light, dissociates forming in the presence of water a hydronium ion and Lewis acid anion. The hydronium ion cleaves the oxirane ring leaving a carbonium ion available to continue the

polymerization by reaction with another oxirane ring.  
A detailed analysis of the mechanism is disclosed in  
"Photosensitized Epoxides as a Basis for Light-  
Curable Coatings" by William R. Watt, American Chemical  
5 Society Symp. Ser. 114, Epoxy Resin Chemistry, Chapter 2  
(1979).

This invention aims to provide a jet ink  
composition which comprises a high percentage of low  
molecular weight epoxy resin constituents which are  
10 polymerizable upon application to provide cured, rub  
and smear resistant indicia rapidly, upon application  
to a substrate, when exposed to source of radiation  
namely ultra-violet light. Desirably the ink  
composition contains as a colorant, a dye that will  
15 neither clog the jet printing nozzle nor interfere in  
the curing process. Rapid cure of the epoxy resin  
upon application and irradiation is achieved by a  
suitable photoinitiator in the ink.

The invention also provides a method for printing  
20 an actinically curable jet ink composition on a  
substrate, the indicia being rendered rub and smear  
resistant essentially upon application of ultra violet  
light.

According to the present invention, there is  
25 provided a jet ink composition for producing visible

indicia on a substrate, the ink composition being formulated from a polymerizable epoxy resin, a colorant and a solvent, characterised in that the ink composition has at 25°C a viscosity of 1.5 and 25 mPa.s, a

5 resistivity of less than 4000 ohm-cm., and a velocity of sound between 800 and 2,500 meters per second, and the ink composition comprising (A) 10 to 70% by weight of an epoxy resin selected from (i) diglycidyl ethers of bisphenol A, said resin being predominately the

10 monomer thereof, (ii) polyepoxidized phenol or cresol novolacs, (iii) diepoxy ethers or esters having two epoxy cycloalkyl groups, (iv) polyglycidyl ethers of polyhydric alcohols, and (v) combinations thereof, the said epoxy resins being liquid at room temperature or

15 having a Durrant melting point of less than 100°C., and having an epoxy equivalent weight of less than 300; (B) 1 to 12% by weight of a photoinitiator, capable of rapidly polymerizing the epoxy resins, which provides a Lewis acid effective to initiate said polymerization

20 reaction upon exposure to a source of radiation such as ultra-violet light; (C) less than 2% by weight of a colorant that does not adversely affect cure of the epoxy resins; and (D) a solvent blend containing solvents selected from aliphatic alcohols having one to

25 five carbon atoms, alkyl ketones having two to five

carbon atoms, aromatic hydrocarbons of benzene, toluene and xylene, propylene carbonate, ethylene glycol ethers having three or four carbon atoms, alkyl acetates having two to four carbon atoms, and combinations of these solvents, the solvent blend being volatile at ambient conditions.

Also according to the present invention, there is provided a process of jet printing for producing visible coded messages on glass, metal or polymeric substrates, characterised by

(1) forming a jet ink composition having (at 25°C) a viscosity of 1.5 to 25 mPa.s, a resistivity of less than 4000 ohm-cm., and a velocity of sound therein of 800 to 2,500 meters per second, the ink composition being formed from (A) 10 to 70% by weight of an epoxy resin selected from (i) diglycidyl ethers of bisphenol A, said resin being predominately the monomer thereof, (ii) polyepoxidized phenol or cresol novolacs, (iii) diepoxide ethers or esters having two epoxy cycloalkyl groups, (iv) polyglycidyl ethers of polyhydric alcohols, and (v) combinations thereof, the epoxy resins being liquid at room temperature or having a Durrant melting point of less than 100°C. and having an epoxy equivalent weight of less than 300; (B) 1 to 12% by weight of a photoinitiator capable of rapidly



polymerizing the epoxy resins and of the type that provides a Lewis acid effective to initiate said polymerization reaction upon exposure to a source of radiation such as ultra-violet light; (C) less than 2%  
5 by weight of a colorant that does not adversely affect cure of the resins, and (D) a solvent blend containing solvents selected from aliphatic alcohols of one to five carbon atoms, alkyl ketones of two to five carbon atoms, aromatic hydrocarbons of benzene, toluene and  
10 xylene, propylene carbonate, ethylene glycol ethers of three or four carbon atoms, alkyl acetates of two to four carbon atoms, and combinations of same, said solvent being volatile at ambient conditions;

(2) discharging the ink composition as a stream  
15 of electrically deflectable droplets through a nozzle;

(3) passing a substrate beneath the nozzle,

(4) deflecting the droplets onto the substrate in response to pre-set electric signal to form the coded message, and

20 (5) contemporaneously exposing the deflected ink composition to a source of the said radiation, whereby the photoinitiator releases sufficient amounts of the Lewis acid to effect rapid and substantial polymerization of the epoxy resin constituents.

25 The invention is now described in more detail by

way of example only.

The jet ink composition embodying the present invention comprises an epoxy resin or blend of such resins which polymerize rapidly in the presence of a  
5 photoinitiator and upon exposure to a source of radiation, said resin or blend of resins providing a strongly adhering indicia on the substrate resistant to smearing and rubbing. The composition contains a photoinitiator of the type which provides a Lewis acid upon exposure  
10 to radiation, preferably ultra-violet light, and a colorant which is either a pigment or a dye, but is preferably a dye, that does not adversely affect the polymerization reaction. The foregoing constituents are contained in a solvent or blend of solvents, and  
15 the solvent system is such as to be capable of solvating the resin, dye and photoinitiator constituents. The ink constituents are combined in such proportions as to obtain a jet ink composition whose viscosity is about 1.5 to about 25 mPa.s at 25°C, preferably 1.5  
20 to 18 mPa.s, a resistivity of less than 4000 ohm-cm, and a velocity of sound of between 800 to 2500 meters per second.

The epoxy constituent is selected from one or more of the following: diglycidyl ethers of bisphenol A;  
25 diepoxide ethers or esters having two epoxycycloalkyl groups; polyepoxidized phenol or cresol novolacs; and

polyglycidyl ethers of a polyhydric alcohol, these resins having an epoxy equivalent weight of less than about 300. The epoxy constituent is present in the ink in the range of 10 to 70% by weight of the ink.

- 5 The preferred ink includes a diglycidyl ether of bisphenol A alone or in combination with other epoxy resins.

The preferred photoinitiator to obtain rapid cure times is bis-[4-(diphenylsulfio) phenyl] sulfide  
10 bis-M.X6 initiator, wherein M is selected from the group consisting of phosphorous, antimony or arsenic and X is a halide, particularly a fluoride. The initiator is present in concentrations of 1 to about 12% by weight.

- 15 The solvent system preferably comprises a blend of solvents selected from the groups consisting of alkyl ketones having 2 to 5 carbon atoms and aliphatic alcohols alcohols of 1 to 5 carbon atoms. Other hydrocarbon solvents may be used, provided the solvent  
20 system solubilizes the other ink constituents, and is compatible for use with jet printing equipment.

In use the ink is fed from a supply reservoir to the nozzle of the jet printing apparatus and is then dispensed as a coded series of droplets onto the  
25 substrate. Evaporation of the solvent blend from the

droplets is achieved rapidly, and deposited indicia  
cure upon radiation. Because the amount of ink  
actually printed is small, most jet printers transfer  
ink from the supply reservoir in a larger and more  
5 controllable volume, apply only the quantity needed  
to the substrate, and recycle the excess. However,  
the entire flow of ink from the reservoir discharges  
through the nozzle at atmospheric conditions, and the  
resulting flash vaporization causes a change in the  
10 cycled ink composition. For this reason it is preferred  
to reconstitute the cycled ink with make-up solution  
consisting essentially of solvent, although the  
make-up may also include non-solvent constituents if  
required. The make-up ink solution is formulated  
15 specifically to re-attain approximately the supply  
reservoir composition for subsequent re-use of the  
cycled ink.

The present jet ink compositions have as primary  
constituents a colorant, an epoxy resin binding agent,  
20 a solvent or blend of solvents, and a photoinitiator  
which forms a Lewis acid and a cation upon exposure  
to a radiation source, for example, an electromagnetic  
or ultra violet light source. The solvent system is  
preferably adapted to provide hydrolysis of the Lewis  
25 acid resulting in the formation of a positively charged

hydronium ion. Each of the primary constituents must be in proper proportion to achieve both suitability for the jet ink printing apparatus and for adhesion to the particular substrate. To meet these use requirements, the following standards should be attained:

(1) Inks should dry effectively instantly, or be smear resistant upon irradiation with subsequent complete drying in about 60 seconds, and preferably in less than 30 seconds. That is, the epoxy resin contained within the ink composition should cure substantially within this timespan, and the solvent should be essentially completely evaporated therefrom leaving a non-tacky, smear-resistant printed image.

(2) Ink viscosity should be from about 1.5 to about 25 mPa.s, preferably 1.5 to 18 mPa.s.

(3) Cured or irradiated indicia printed on glass substrates should exhibit ready adhesion thereto, be abrasion and fade resistant, and have an ability to withstand conditions of moisture and elevated temperature encountered during conventional pasteurization operations.

(4) As applied to metal or synthetic polymeric surfaces, the cured indicia should exhibit resistance to fading and be able to maintain adhesion under pasteurization conditions when immersed in aqueous

solution for 15 minutes at 150°F (65.5°C).

(5) Cured indicia printed on bottles should exhibit resistance to removal by lubricant soaps conventionally used by bottlers on conveying lines.

- 5 (6) Inks should have a minimum shelf life of about one month, preferably about three months.

Fade resistance as used herein means that cured indicia should remain visible on the substrate for a period of not less than three months. Shelf life is defined as the ability of the ink to cure and to  
10 provide a visible image on the substrate upon use after storage at ambient conditions for not less than one month. Of course, the inks should be stored in a dark container to prevent premature polymerization.

- 15 The individual constituents of the present inks will now be discussed in more detail.

#### Resin Binding Agents

- The ink compositions of the present invention contain at least one epoxy resin constituent  
20 characterized by two or more oxirane rings, said epoxy resin, i.e., essential prepolymer, being soluble in the solvent system, and preferably being a liquid resin. The epoxy resins or blend of such resins must be present in sufficient concentration so that a  
25 hardened indicia is formed after application of the jet

ink to the substrate, and upon drying and curing. As used herein, drying relates to the evaporation of the solvent from ink droplets during application and also from the indicia during the curing period. Curing  
5 on the other hand concerns the polymerization of the epoxy prepolymer(s) upon initiation of the reaction. In addition to the aforesaid epoxy resins included in the jet ink composition, other non-reactive and/or reactive resins may be included optionally to enhance  
10 one or more properties of the ink itself or the indicia.

Selection of the essential prepolymer provides a means by which the ink properties and indicia attributes may be influenced. It is necessary to obtain a final ink composition having a viscosity of 1.5 to 25 mPa.s  
15 at 25°C., preferably 1.5 to 18 mPa.s. Although the choice of solvent system is of primary importance in achieving the desired viscosity, prepolymer selection and concentration is also an important factor in obtaining inks which do not clog the fine jet nozzles.  
20 The choice of prepolymer blend is also quite important in obtaining an ink composition which cures rapidly upon initiation, and within the time constraints stated previously. Of course, choice of initiator is also to be considered in achieving rapid cure, and should be  
25 compatible with the prepolymer used. It has also been found that proper prepolymer selection will result in

indicia that provide good adhesion to a variety of substrates, for example, glass, polyester tape, aluminum, and low density polyethylene. Proper prepolymer selection also provides indicia with good resistance to removal  
5 by water, soapy water or oil.

By using curable prepolymers of relatively low molecular weight as opposed to high molecular weight resins now commonly used in jet inks, an ink may be fashioned which is within the viscosity limitations  
10 of the jet printer, yet which may contain a very high percent of the prepolymer. Hence, the inks of the present invention require low solvent concentrations thereby reducing manufacturing, transportation and storage costs.

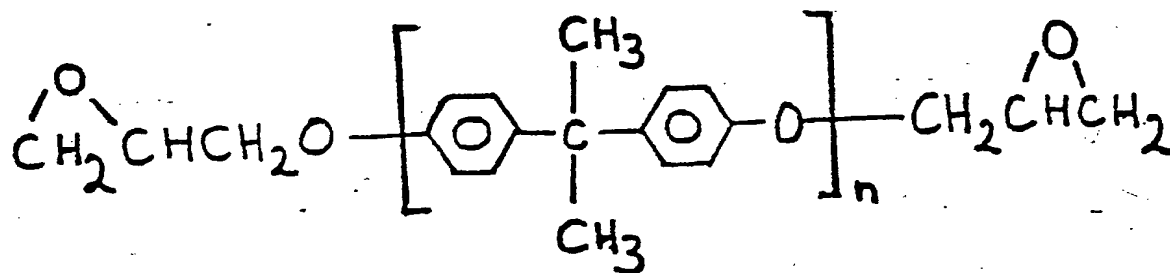
15 The jet inks of the present invention must contain at least one epoxy resin of prepolymer selected from the previously given list of resins having an epoxy equivalent weight of less than about 300. Preferably, the resins are liquids. although low melting  
20 point solids can be used provided that they are soluble in the solvent system, and do not increase the viscosity of the ink above the 25 mPa.s limit. At least one of the above noted resins is required to provide a cured polymer that adheres readily to the substrate, although  
25 blends of the said resins can be formulated for improved



adhesion, and to provide ink compositions having specific viscosity and cure time properties.

Diglycidyl ethers of bisphenol A (DGEBA resins) have the structure

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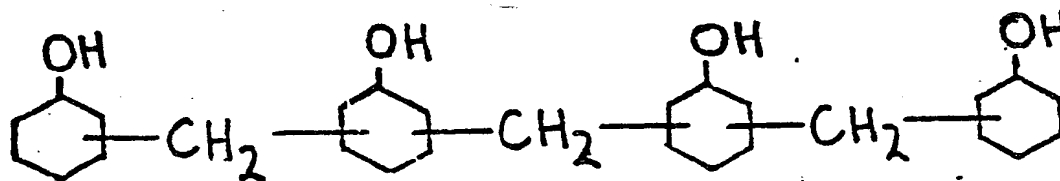


10 and are prepared by the reaction of epichlorohydrin and bisphenol A. For use in the present jet ink, the DGEBA resin should have an average molecular weight of less than about 700, and should have an epoxy equivalent weight between about 170 to about 300, preferably about 15 170 to about 230. Hence, the DGEBA resin will be a mixture comprising predominantly the monomer (n=1), and successively lesser amounts of the dimer (n=2), trimer (n=3), etc. Preferably the DGEBA resin will be a viscous liquid having a viscosity of 5000 to 35000 20 mPa.s at 25°C., although small concentrations of higher viscosity resins or low melting point resins whose Durran melting point is less than about 100°C may be included.

DGEBA resins having the aforesaid properties are 25 commercially available from several manufacturers, for example, Ciba-Geigy Company under the trademark

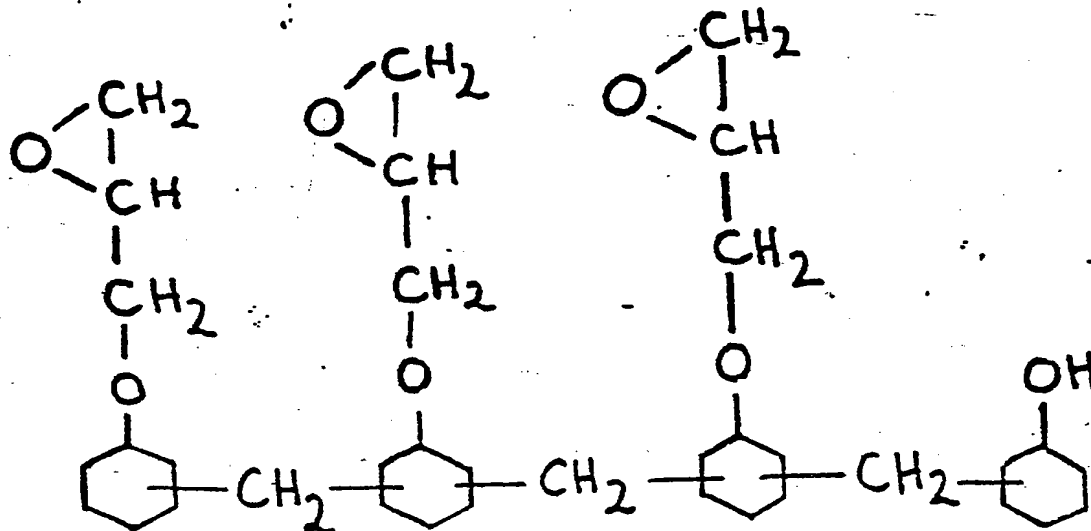
ARALDITE, e.g., ARALDITE 6020; Dow Chemical Company  
under the DER trademark, e.g. DER 331; Celanese Corpor-  
ation EPI-REZ, e.g. EPI-REZ 510; Reichhold Chemicals,  
Inc. EPOTUF, e.g. EPOTUF 37-139; Shell Chemical  
5 Company EPON, e.g. EPON 825; and Union Carbide ERL,  
e.g. ERL 2774.

Phenol or cresol novolacs are obtained by a  
condensation reaction between formaldehyde and cresol  
or phenol in the presence of an acid catalyst and have  
10 the structure (for phenol)



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Polyepoxidized phenol or cresol novolaks are prepared  
by etherification of two or more of the hydroxyl  
20 groups, e.g.,



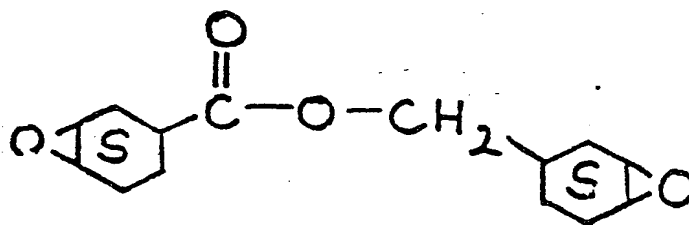
Such resins should have molecular weights on the order of 1000 and epoxy equivalent weights ranging between 170 to about 235, and are available as liquids or low melting point solids. Preferably, liquids are used in the jet inks, the viscosities thereof ranging between 1400 to 70000 mPa.s at 52°C. Inclusion of these resins within the jet ink composition is advantageous in that the resulting polymer crosslinking will be branched thereby providing improved adhesion to the substrate, especially for substrates ultimately subjected to water, soapy water or oil.

The novolac epoxy resins are widely available commercially, for example, Ciba-Geigy Company EPN, e.g. EPN 1139; Dow Chemical Company DEN, e.g., DEN 438; Celanese Corporation EPI-REZ, e.g., EPI-REZ 5156;

Reichhold Chemicals, Inc. EPOTUF, e.g., EPOTUF 37-170;  
Shell Chemical Company EPON, e.g., EPON 154 and Union  
Carbide ERR-O OO.

The diepoxidized esters are obtained by reaction  
5 of an epoxidized cyclic alcohol and an epoxidized  
cycloalkanecarboxylic acid. Exemplary of this class of  
resins is 3,4-epoxycyclohexylmethyl-3,4-epoxycyclohexane  
carboxylate sold under the trademark ERL 4221 by Union  
Carbide Plastics Division and which has the structure

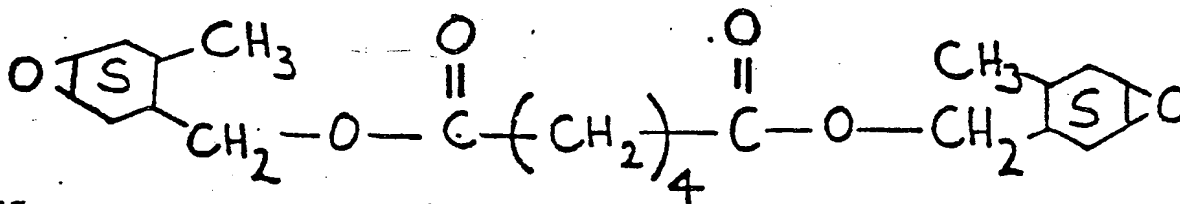
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It is understood that many functional group substitutions  
can be made maintaining the presence of the two ring  
saturated epoxies. For example, bis (3,4-epoxy-6-  
methylcyclohexyl methyl) adipate which has the  
20 structure

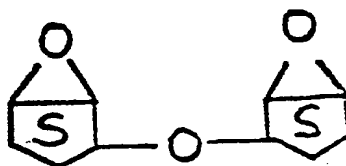
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and is available as ERL 4289 from Union Carbide, is suitable for inclusion in the jet ink composition.

Exemplary of the diepoxide ethers used herein is bis (2,3-epoxycyclopentyl) ether of the  
5 structural formula



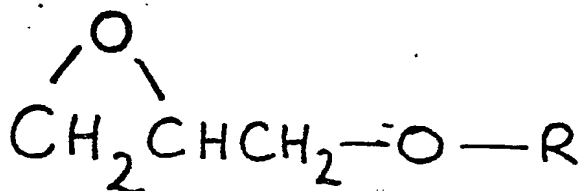
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sold by Union Carbide under the trademark ERLA-4400.

Preferably, the jet ink will contain the DGEBA resin not only because it provides good curing and adhesion properties, but also because it is commercially  
15 available in a wide choice of desirable viscosity ranges. It is also preferred, however, to include one or more of the other above designated epoxy resins to regulate cure time and to improve adhesion characteristics of the cured indicia. Thus, resistance to  
20 removal by water, soapy water, oils and organic solvents is improved by including novolac or bis (epoxycycloalkyl) ester resins, while cure time can be increased by including either the diepoxide esters or ethers named above.

25

It is also possible to include glycidyl ethers,  
for example, monoepoxides of the general structure



where R may be an alkyl group of 4 to 12 carbon atoms,  
an aryl group, or an alkene group of 2 to 6 carbon  
10 atoms. These epoxides are commonly called reactive  
diluent, and can be used to adjust the viscosity of  
the ink composition, but are not essential to the  
present invention. Other polymerizable resins, for  
example DGEBA resins of very high equivalent weight,  
15 i.e., VARCUM 29-108 manufactured by Reichhold Chemicals,  
Inc., which resins are sometimes used as binding agents  
in jet inks not designed for curing by UV light, may  
be included to enhance properties of the ink.

Other resins, not polymerizable resins, for example,  
20 rosins, particularly maleic acid, fumaric acid and  
pentaerythritol modified rosins, acrylics and  
polyacrylics, and polyvinyl acetates may also be  
incorporated to provide better adhesion to the substrate.  
Typically, these other resins will be present in the  
25 ink in an amount less than 15% by weight.

Photoinitiator

The catalyst or photoinitiator used herein is of the type which, upon exposure to a radiation source, e.g., ultra violet light, forms a Lewis acid. It is essential that the initiator chosen should promote rapid cure of the resins included in the jet ink and be stable over the shelf life of the ink. It is also important that the initiator be relatively insensitive to humidity, inasmuch as many high speed bottling lines operate at variable ambient conditions. Suitable initiators are the aromatic diazoium salts of complex halogen halides, i.e. salts tabulated in Table I of U.S. 3,936,557; aromatic onium salts of elements in Groups Va and VIa of the Periodic classification of the elements for example, salts described in U.S. Patents 4,058,401 and 4,069,056 diaryliodonium salts disclosed in Crivello et al, "Photoinitiated Cationic Polymerization Using Diaryliodonium Salts" J. of Radiation Curing, July 1972, and bis-[4-(diphenylsulfonio) phenyl] sulfide bis-M.X6 initiators disclosed earlier in this specification. The last mentioned class of initiators is disclosed in U.S. 4,201,640 assigned to American Can Company.

The preferred initiator is bis-[4-(diphenylsulfonio) phenyl] sulfide bis-hexafluorophosphate (BDS), manufactured

by ourselves, and is solvated to a 33% by weight solution in propylene carbonate. However, triphenylsulfonium hexafluorophosphate (TPS) also rapidly cures the resin.

It is believed that conventional electrolytes, generally necessary in conventional jet ink formulations to achieve the proper resistivity of less than 4000 ohm-cm., preferably less than 3000 ohm.-cm., interfere with the photoinitiating reaction, probably by neutralizing the Lewis acid. However, the aforesaid BDS and TPS initiators, and most likely other complex salt initiators, when provided in excess of the amount required to trigger the curing reaction, do provide the specified resistivity. Hence, while only 0.3 to 3.0% initiator by weight would generally be considered appropriate to start polymerization, we prefer to add between 3 to 12% initiator by weight. Percentages referred to in the preceding sentence are on an inert free basis. That is, solvents such as propylene carbonate for BDS initiator, and other inert materials that may be in the initiator formulation as obtained from the source of supply are not included in said percentage ranges.

#### Colorants

Colorants used herein are either dyes or pigments. Pigments are defined as any substance, usually a dry powder insoluble in water or organic solvent, that has



a positive colorant value and imparts color to another substance, e.g., metallic oxides for instance of titanium. Because pigments are insoluble in ink compositions, they are included as fine powders, typically  
5 below 5 microns, yet they still tend to plug the fine jet nozzles. For this reason, dyes soluble in the solvent system are the preferred colorants. However, most dyes, which contain active organometallic complexes, have been found to affect the cure mechanism,  
10 especially those dyes with sulfur and sodium groups. We have found that certain azo/metal complex dyes do not adversely affect the initiator for example, Spirit Soluble Fast Violet RR, manufactured by BASF-Wyandotte and Orasol Black RL by Ciba-Geigy, Inc.

15 Solvent System

The organic solvent serves to reduce the viscosity of the ink composition to values suitable for use in jet printing equipment. The solvent blend used should be adapted to dissolve the resins as well as the dyes,  
20 and preferably will be sufficiently polar to form the more stable Lewis acid hydrolysis product, although small amounts of water may be added or may be provided from the environment to do so. The solvent system should be suitable for substantially complete dissolution  
25 of the other ink constituents, and evaporate substantially

- 25 -

from the droplets of ink leaving the jet printing nozzle. The solvent can be a blend of one or more solvents selected from alkyl ketones of from 2 to 5 carbon atoms, aromatic hydrocarbons of benzene, toluene and xylene, ethylene glycol ethers of 3 or 4 carbon atoms, acetates of from 2 to 4 carbon atoms and aliphatic alcohols of 1 to 5 carbon atoms. Preferably, one solvent should be included to readily solubilize the dye, if used, for example the aliphatic alcohol of from 1 to 5 carbon atoms. The solvent blend is formulated for adjustment of ink properties such as the velocity of sound, its wetting ability and its viscosity. Propylene carbonate, used to solvate BDS initiator, has also been found to be a proper component of the solvent system .

Miscellaneous Components:

In addition to the aforesaid resin, initiator, colorant and solvent constituents, the ink composition may contain small amounts of other additives such as optical brighteners, e.g., HM-35 from Day-GLO Corporation, surfactants, e.g., FC 430 from 3M Corporation, which is a fluorinated alkyl ester surfactant, and coupling agents, e.g., L720 which is an organosilane available from Dow Chemical Company.

Composition of the Jet Inks

The inks disclosed herein contain 10 to 70% by weight of one or more of the epoxy resins hereinbefore referred to as the essential prepolymers, preferably 25 to 60% by weight of the said resins. Preferably, a blend of epoxy resins comprising DGEBA resins and one or more of the other essential epoxy resins hereinbefore named (that is, not including the reactive diluents or the high molecular weight reactive resins used to enhance adhesion) are used in the weight ratio of between 1:4 to 4:1, most preferably 1:2 to 2:1. Novolac resins are advantageously included in the preferred resin blends to promote crosslinking having highly branched structures. Substantial branching, sufficient to obtain improved adhesion of the cured indicia on the substrate surface, is obtained with a ratio of between about 0.1 to 0.5 parts novolac resin per part of non-novolac resin. Hence, excellent jet ink compositions are obtained, based on the most preferred blends mentioned above, with the essential epoxy resins within the following ratios:

	<u>Pbw</u>
DGEBA	1-2
Novolac	0.273-1
Other	0-1.727

Depending upon the selection of essential resins and the solvent system, up to 40% by weight of the ink may contain reactive diluents, although generally the amount of reactive diluent, if used, would be less than 15% by weight of the ink. The reactive diluent is especially useful where high concentrations, over 35% by weight of the ink, of high viscosity or solid DGEBA or novolac resins are included in the ink concentration. The diluents serve to reduce viscosity of such formulations without providing substantial amounts of solvent that otherwise flash evaporate. While the diluent does not enhance adhesion and rub resistance to the cured indicia, its use in combination with the essential resins and in proper amounts does not deleteriously affect indicia properties.

The initiator comprises 1 and 12% of the ink on a weight basis, said percentage range being on a solvent and/or inert free basis.

Typically, the colorant comprises less than 2% by weight of the ink, preferably less than 1.0% by weight.

The solvent blend comprises the remainder of the ink composition, the solvent percentage including the solvent, if any, for the photoinitiator. Hence, the solvent can comprise between about 10 to about 85% of

the ink by weight although typically the solvent represents about 25 to about 60% of the ink by weight. Low solvent concentrations, i.e., solvent weight percents between about 10 to 25% usually indicate that

5 a reactive diluent has been included in the ink composition. High solvent concentrations, above 60%, are typically employed when a reactive diluent is not included and high viscosity DGEBA and novolac resins are used. Preferably, the solvent blend comprises

10 methanol and methyl ethyl ketone in the weight ratios of 1:4 to 4:1, preferably between 1:2 to 2:1. Up to 20% isopropanol may advantageously be included in the above solvent blend to enhance the wetting properties of the ink on the substrate, the isopropanol evaporating

15 more slowly from the ink droplets leaving the printer. Methanol is also a preferred solvent because we have found it acts as a chain terminator, thereby preventing reaction until use, thus providing excellent ink stability. When the ink is used, the methanol evaporates rapidly

20 from the system permitting reaction to occur.

The jet printing process employing inks as described above will now be described by way of example.

A continuous stream of ink is transferred from a pressurized supply reservoir for discharge as droplets

25 from a printing nozzle at ambient conditions. The pressure in the reservoir is sufficient to overcome

transfer line and nozzle pressure drops, the pressure being about 10 to 50 psia (0.69 to 3.45 bar). The disposition of the droplets exiting the nozzle is dependent on whether a coded message is to be printed on a substrate. Assuming that the coded message is not to be printed, the droplets are recaptured proximate to the nozzle and cycled back to a return tank. Because the nozzle always discharges to atmosphere, it is necessary that the return tank be under vacuum, the return tank pressure being about 4-6 psia (0.28 to 0.41 bar).

When an article to be encoded passes beneath the printer nozzle, a portion of the droplet stream is deflected by a pre-set series of electric signals thereby causing the coded message to be printed. The bulk of the droplets, however, continue to be recaptured for transfer to the return tank. This system is used because the actual amount of ink necessary for printing the coded messages is substantially smaller than the volumetric flow rate through the printing equipment required for meaningful control. Droplets applied to the substrate are exposed to ultra-violet light, and the reaction begins, residual solvent simultaneously evaporating from the droplets, the drier and cured indicia adhering strongly to the substrate and resistant

to fading, rubbing and smearing.

Make-up Solution

Because of the flash vaporization which occurs at the nozzle, and the reduction in pressure in the return tank, the composition of the ink in the return tank is different than the ink in the supply reservoir. For this reason it is necessary to reconstitute the former for eventual re-use by the addition of make-up solution. The make-up formulation is a function of the rate of loss of the ink constituents, and consists essentially of the volatile solvent species, but may also include non-solvent constituents. In general, the make-up formulation is added intermittently. The return tank can be mounted on a weigh scale, or its volume measured, and as evaporation occurs, an automatic valve actuated to transfer make-up solution to the supply reservoir. Alternately, a property of the ink could be monitored, e.g., specific gravity, to actuate the transfer. Because the rate of loss is dependent upon the actual ink composition and operating conditions, the make-up solution composition should be determined a priori by a trial and error procedure.

The examples below further illustrate the present invention:

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Example 1Epoxy Resins

	<u>Pbw</u>	<u>Pbw</u>
Diglycidyl ether of bisphenol A (Epon 825, Shell Chemical Company)	26.0	
3,4-Epoxy cyclohexylmethyl-3,4-epoxy- cyclohexane carboxylate (ERL 4221, Union Carbide Corporation)	14.2	
Monoglycidyl ether (Epoxide 8, Proctor and Gamble Company)	7.2	
	<u>47.4</u>	47.4

Solvent System

Methanol	7.6	
Ethanol	4.3	
Methyl ethyl ketone	9.7	
Ethylene glycol monomethyl ether (methyl Cellosolve, Union Carbide Co.)	<u>19.2</u>	
	40.8	40.8

Other Components

Bis-[4-(diphenylsulfonio) phenyl] sulfide-bis hexafluorophosphate in 33% solution by weight of propylene carbonate (BDS photoinitiator, American Can Company)		9.5
Spirit Soluble Fast Violet RR dye (BASF - Wyandotte Corp.)		1.8
Dimethylamine hydrochloride electrolyte		<u>0.5</u>
		100.0



The monoglycidyl ether is a reactive diluent. Although run successfully on aluminium foil, several drawbacks were noted. Not all of the dye dissolved, and curing of the resin took longer than expected. It appeared that the presence of the electrolyte, normally included in jet ink compositions, interfered with formation of the Lewis acid, and prevented rapid curing. The high percentage of methyl Cellosolve also affected drying time in that this solvent tended to remain with the resin rather than be subject to flash evaporation. Finally, the solvent system was not formulated for complete dissolution of the dye. In Example 1 as well as in the other examples below, the amount designated for the initiator includes the solvent and/or inert material therefor.

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Example 2Epoxy Resins

	<u>Pbw</u>	<u>Pbw</u>
Epon 825, Shell Chemical Company	22.3	
ERL 4221, Union Carbide Corporation	11.9	
Epoxide 8, Proctor and Gamble Company	5.8	
Diglycidyl ether of bisphenol A (Varcum 27-109, Reichhold Chemical Company)	5.0	
	<u>45.0</u>	45.0

Solvent System

Methanol	10.0	
Ethyl acetate	25.0	
Methyl Cellosolve	10.0	
	<u>45.0</u>	45.0

Other Components

BDS photoinitiator in 33% propylene Carbonate, American Can Company		10.0
Orasol Black RL dye (Ciba-Geigy, Corp.)		1.0
FC 430 Surfactant, 3M Company		0.1
		<u>101.1</u>

The ink cured more easily than the jet ink of Example 1, and provided slightly better adhesion to the substrate. Varcum 27-109 is not considered an essential prepolymer, but was included for improved adhesion.

Example 3Epoxy Resins

	<u>Pbw</u>	<u>Pbw</u>
Epon 825	18.7	
ERL 4221	10.0	
Epoxide 8	4.9	
Ortho-cresolformaldehyde novalac epoxy resin (ECN 1235, Ciba-Geigy Corporation)	5.0	
	<u>38.6</u>	38.6

Solvent System

Methanol	15.8	
Methyl ethyl ketone	24.2	
Methyl Cellosolve	9.3	
	<u>49.3</u>	49.3

Other Components

BDS photoinitiator	11.0
Spirit Soluble Fast Violet RR	1.0
FC 430	0.1
	<u>100.0</u>

The dye dissolved more readily in the above solvent system, while the presence of the novolac resin provided branching of the crosslinked epoxy resins. As a result, better solvent resistance of the cured indicia was noted.

Example 4

Epoxy Resin

	<u>Pbw</u>	<u>Pbw</u>
Epon 825	15.2	
ERL 4221	8.1	
Epoxide 8	4.0	
ECN 1235	5.0	
	<u>32.3</u>	32.3

Solvent System

Methanol	22.7	
Methyl ethyl ketone	22.7	
Isopropanol	7.2	
Water	4.5	
	<u>57.1</u>	57.1

Other Components

BDS photoinitiator	9.0
Spirit Soluble Fast Violet RR	1.4
FC 430	0.1
	<u>100.0</u>

Methyl Cellosolve was eliminated from the solvent system and was found not to be essential for solubilizing the epoxy resins. Surprisingly, about 4.5% water by weight did not cause instability of the ink or prevent cure.

Example 5Epoxy Resin

Epon 825  
ERL 4221  
Epoxide 8  
ECN 1235

Pbw

23.0  
12.5  
6.0  
9.8  
51.3

Pbw

51.3

Solvent System

Methanol  
Methyl ethyl ketone

17.0  
17.0  
34.0

34.0

Other Components

BDS photoinitiator, American Can Company  
Spirit Soluble Fast Violet RR dye, BASF-  
Wyandotte Corporation  
Organosilane coupling agent (L720, Dow  
Chemical Co.)

12.7

11.0  
108.9

Viscosity of the above ink composition was about 5 mPa.s and the velocity of sound was about 1,400 meters per second, each property being measured at about 25°C.

Example 6Epoxy Resins

Epon 825  
ERL 4221  
Epoxide 8  
Monoglycidyl ether (Epoxide 7,  
Proctor and Gamble Company)

Pbw

20.0  
11.0  
5.0

27.0  
63.0

Pbw

63.0

Solvent System

Methanol  
Methyl ethyl ketone

11.0  
11.0  
22.0

22.0

Other

BDS photoinitiator  
Spirit Soluble Fast Violet RR  
FC 430

14.0

1.0

0.1

100.1

Viscosity, mPa.s at 25°C	5.35
Velocity of Sound, mps at 25°C.	1386

EXAMPLE 7

Epoxy Resins

	<u>Pbw</u>	<u>Pbw</u>
Epon 825	27.0	
ERL 4221	15.0	
Epoxide 8	7.0	
ECN 1235	11.3	
	<u>60.3</u>	60.3

Solvent System

Methanol	16.0	
Methyl ethyl ketone	8.2	
n-propyl acetate	16.0	
	<u>40.2</u>	40.2

Other

BDS photoinitiator	15.0
Spirit Soluble Fast Violet RR	1.0
FC 430	0.1
	<u>16.6</u>

Example 8

Epoxy Resins

	<u>Pbw</u>	<u>Pbw</u>
Epon 825	22.6	
ERL 4221	12.0	
Epoxide 8-	6.0	
ECN 1235	10.0	
Diglycidyl ether of bisphehol A		
(Araldite 6060, Ciba-Geigy Corp.)	9.0	
	<u>59.0</u>	59.0

Solvent System

Methanol	13.0	
Methyl ethyl ketone	6.0	
n-propyl acetate	12.0	
	<u>31.0</u>	31.0

Other

BDS photoinitiator	12.0
Spirit Soluble Fast Violet RR	0.8
FC 430	0.1
	<u>102.9</u>

Example 9Epoxy Resin

	<u>Pbw</u>	<u>Pbw</u>
Epon 825	11.0	
ERL 4221	6.0	
Epoxide 8	3.0	
ECN 1235	6.8	
	<u>26.8</u>	26.8

Solvent System

Methanol	29.0	
Methyl ethyl ketone	23.0	
Isopropanol	3.4	
	<u>55.4</u>	55.4

Other

Alkali Soluble Modified	
Rosin Ester (Pentalyn 255,	
Hercules, Inc.)	4.0
BDS Photoinitiator	12.0
Spirit Soluble Fast Violet RR	1.6
FC 430	0.04
	<u>99.84</u>

Pentalyn 255 is a rosin binding agent to provide better adhesion of the indicia to the substrate. The properties of the ink were:

Viscosity, mPa.s at 25°C	2.54
Velocity of Sound, mps at 25°C.	1,300
Resistivity, ohm-cm.	650



**Claims:**

1. A jet ink composition for producing visible indicia on a substrate, the ink composition being formulated from a polymerizable epoxy resin, a colorant and a solvent, characterised in that the ink composition has at 25°C a viscosity of 1.5 and 25 mPa.s, a resistivity of less than 4000 ohm-cm., and a velocity of sound between 800 and 2,500 meters per second, and the ink composition comprising (A) 10 to 70% by weight of an epoxy resin selected from (i) diglycidyl ethers of bisphenol A, said resin being predominately the monomer thereof, (ii) polyepoxidized phenol or cresol novolacs, (iii) diepoxy ethers or esters having two epoxy cycloalkyl groups, (iv) polyglycidyl ethers of polyhydric alcohols, and (v) combinations thereof, the said epoxy resins being liquid at room temperature or having a Durran melting point of less than 100°C., and having an epoxy equivalent weight of less than 300; (B) 1 to 12% by weight of a photoinitiator, capable of rapidly polymerizing the epoxy resins which provides a Lewis acid effective to initiate said polymerization reaction upon exposure to a source of radiation such as ultra-violet light; (C) less than 2% by weight of a colorant that does not adversely affect cure of the epoxy resins; and (D) a solvent blend containing solvents selected from aliphatic alcohols having one to five carbon atoms, alkyl ketones

having two to five carbon atoms, aromatic hydrocarbons of benzene, toluene and xylene, propylene carbonate, ethylene glycol ethers having three or four carbon atoms, alkyl acetates having two to four carbon atoms, and combinations of these solvents, the solvent blend being volatile at ambient conditions.

2. The composition according to claim 1, characterised in that the epoxy resin is selected from resins including liquid diglycidyl ether of bisphenol A having a viscosity of between 5000 to 35000 mPa.s at 25°C. has an epoxy equivalent weight of between 170 and 230, phenol or cresol novolac is selected from novolacs having a viscosity of between 1400 to 70000 mPa.s at 52°C. and an epoxy equivalent weight of between 170 to 235, the diepoxide resin is selected from 3,4-epoxycyclohexylmethyl-3,4-epoxycyclohexane carboxylate, bis (3,4-epoxy-6-methylcyclohexylmethyl) adipate, and bis (2,3-epoxycyclopentyl) ether, and the polyglycidyl ether is selected from 1,4-bis (2,3-epoxypropoxy) butane, bis [2-(2,3-epoxypropoxy) ethyl] ether, and 1,2,3-tris (2,3-epoxypropoxy)propane.

3. The composition according to claim 1 or claim 2, characterised in that the epoxy resin is a blend of diglycidyl ether of bisphenol A and one or more other resins in the weight ratio of 1:4 to 4:1.

4. The composition according to any of claims 1 to 3, characterised in that the resin blend contains 0.1 to 0.5 parts novolac epoxy resin per part of non-novolac epoxy resins.

5 5. The composition according to any of claims 1 to 4, characterised in that the colorant is a pigment having a particle size of less than 5 microns, or an azo/metal complex dye.

6. The composition according to claim 5, 10 characterised in that the colorant is selected from Spirit Soluble Fast Violet RR and Orasol Black RL dyes.

7. The composition according to any of claims 1 to 6, characterised in that the solvent system 15 comprises methanol and methyl ethyl ketone in a weight ration of 1:4 to 4:1.

8. The composition according to claim 7, characterised by the solvent system containing up to 20% isopropanol by weight.

20 9. The composition according to any of claims 1 to 8 characterised in that the epoxy resin constitutes 25 to 50% of the ink by weight.

10. The composition according to any of claims 1 to 9, characterised in that its viscosity is 1.5 25 to 18 mPa.s, its resistivity is 500 to 2500 ohm-cm., and the velocity of sound therein is 1,150 to 1,750

mps, all measured at 25°C.

11. The composition according to any of claims 1 to 10, characterised in that the photoinitiator is an onium salt selected from aromatic diazonium salts  
5 of complex halogen halides, diaryliodonium salts, and aromatic onium salts of Group Va and VIa elements of the Periodic classification of the elements.

12. The composition according to claim 11, characterised in that the photoinitiator is a bis-  
10 [4-(diphenylsulfio)phenyl] sulfide bis-M.X6 aromatic onium salt of Group Va, where M is selected from the group consisting of phosphorous, antimony and arsenic, and X is a halide, the photoinitiator being solvated in a 33% solution by weight of propylene carbonate.

13. The composition according to any of claims 1 to 12, characterised by having a tack free time less than 30 seconds and preferably less than 5 seconds.

14. The composition according to any of claims 1 to 13, further characterised by comprising up to  
20 40% by weight of a reactive diluent epoxy resin.

15. The composition according to any of claims 1 to 14, characterised by further comprising up to 15% by weight of a binding agent resin.

16. A process of jet printing for producing  
25 visible coded messages on glass, metal or polymeric

substrates, characterised by

- (1) forming a jet ink composition having (at 25°C) a viscosity of 1.5 to 25 mPa.s, a resistivity of less than 4000 ohm-cm., and a velocity of sound therein of 800 to 2,500 meters per second, the ink composition being formed from (A) 10 to 70% by weight of an epoxy resin selected from (i) diglycidyl ethers of bisphenol A, said resin being predominately the monomer thereof, (ii) polyepoxidized phenol or cresol novolacs, (iii) diepoxide ethers or ester having two epoxy cycloalkyl groups, (iv) polyglycidyl ethers of polyhydric alcohols, and (v) combinations thereof, the epoxy resins being liquid at room temperature or having a Durrant melting point of less than 100°C. and having an epoxy equivalent weight of less than 300; (B) 1 to 12% by weight of a photoinitiator capable of rapidly polymerizing the epoxy resins and of the type that provides a Lewis acid effective to initiate said polymerization reaction upon exposure to a source of radiation such as ultra-violet light; (C) less than 2% by weight of a colorant that does not adversely affect cure of the resins, and (D) a solvent blend containing solvents selected from aliphatic alcohols of one to five carbon atoms, alkyl ketones of two to five carbon atoms, aromatic hydrocarbons of benzene, toluene and xylene, propylene carbonate, ethylene glycol ethers of three or four carbon atoms, alkyl acetates of two to four carbon atoms,

and combinations of same, said solvent being volatile at ambient conditions;

(2) discharging the ink composition as a stream of electrically deflectable droplets through a nozzle;

5 (3) passing a substrate beneath the nozzle,

(4) deflecting the droplets onto the substrate in response to pre-set electric signal to form the coded message, and

(5) contemporaneously exposing the deflected  
10 ink composition to a source of the said radiation, whereby its photoinitiator releases sufficient amounts of the Lewis acid to effect rapid and substantial polymerization of the epoxy resin constituents.

17. A process according to claim 16, characterised  
15 in that the ink employed comprises 25 to 50% by weight of an epoxy resin blend comprising, by weight percent of said blend, 20 to 80% of a diglycidyl ether of bisphenol A, said resin being predominantly the monomer thereof and having a viscosity of between 5000 to  
20 35000 mPa.s at 25°C. and has an epoxy equivalent weight of between 170 and 230, 0 to 25% of a polyepoxidized phenol or cresol novolacs having a viscosity of between 1,400 to 70,000 mPa.s at 52°C. and an epoxy  
25 equivalent weight of between 170 to 235, and 20 to 80% of a diepoxide ether or ester having two epoxy cycloalkyl groups.

18. A process according to claim 16 or claim 17, characterised in that the ink employed contains an onium salt photoinitiator selected from aromatic diazonium salts of complex halogen halides, diaryliodonium salts and aromatic onium salts of Group Va and VIa elements.

19. A process according to claim 18, characterised in that the photoinitiator is a bis-[4-(diphenylsulfio)phenyl] sulfide bis-M.X6 aromatic onium salt of a Group Va element, where M is selected from the group consisting of phosphorous, antimony and arsenic, and X is a halide, the photoinitiator being solvated in a 33% solution by weight of propylene carbonate.

20. A process according to claim 16, 17 or 18, characterised in that the ink employed has a colorant selected from Spirit Soluble Fast Violet RR and Orasol Black RL dyes.

21. A process according to any of claims 16 to 20, characterised in that the ink is discharged continuously from a supply reservoir and through the nozzle at ambient conditions, ink not deflected onto the substrate being captured for reuse.

